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Gender and T–V on pronouns as form indexicals

Maria Esipova

University of Oslo

LSA 2021 Annual Meeting, 1/10/2021





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• Projecting inferences are a common topic in formal semantics & pragmatics:

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- Projecting inferences are a common topic in formal semantics & pragmatics:
 - Why do certain pieces of meaning project, i.e., fail to interact with semantic operators in whose syntactic scope they appear to be? (Triggering problem, historically less studied.)

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 - Why do certain pieces of meaning project, i.e., fail to interact with semantic operators in whose syntactic scope they appear to be? (Triggering problem, historically less studied.)
 - How does a given projecting inference interact with semantic operators given a certain local context? (Projection problem.)
- Typical underlying assumptions:
 - The form of the inference is often taken as an invariable given and assumed to be irrelevant for projection.
 - Inferences fall into several natural classes, internally characterized by certain properties (specific projection patterns and various "tests"; sometimes also triggering), with one of these classes being "presuppositions".

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Phi-features in	formal semantics & p	oragmatics: standard	view	

• The standard approach is typically extended to all *phi*-features on pronouns (Cooper 1983; Heim & Kratzer 1998; Sudo 2012, a.o.), including gender:

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- The standard approach is typically extended to all *phi*-features on pronouns (Cooper 1983; Heim & Kratzer 1998; Sudo 2012, a.o.), including gender:
 - Grammatical gender on human-referring pronouns is assumed to contribute projecting inferences about the referent's real-life "gender", w/o specifying what "gender" is and assuming a one-to-one mapping b/n grammatical gender and "gender":
 - (1) If Skyler_i brings her_i dog, I'll give you \$10. \rightarrow Skyler is {a woman, female}.

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 - (1) If Skyler_i brings her_i dog, I'll give you \$10. \rightarrow Skyler is {a woman, female}.
 - This inference is analyzed as a lexically encoded "presupposition" and is assumed to project as such, e.g., Heim & Kratzer 1998:



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 However, gender on pronouns doesn't behave like other "presuppositions" wrt local contexts (LCs); I observe that in counterfactual LCs T–V features in Russian pattern with gender:

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 - (3) a. If Mia was in the library, Lea would be there, too.
 - b. If Kim had cheated on the exam, they'd be regretting it.
 - c. If Zoe was married, I would have met her spouse.

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 - (3) a. If Mia was in the library, Lea would be there, too.
 b. If Kim had cheated on the exam, they'd be regretting it.
 c. If Zoe was married, I would have met her spouse.
 - (4) a. Context: Skyler is a woman. If Skyler was a man, I would buy {#him, her} flowers. (adopted from Yanovich 2010)
 b. Esli by my s vami byli na ty, 〈#ty, vy〉 by if IRR we with you.V were on you.T you.T you.V IRR menja 〈#nazyvala, nazyvali〉 Anja. me called.T called.V Anya 'If we were on the T form basis, you'd be calling me Anya.'

(Russian)

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Phi-features in	formal semantics & p	pragmatics: standard	view	

- Because these inferences do not project like regular presuppositions, they are often treated as indexical presuppositions (e.g., Cooper 1983; Yanovich 2010, 2012):
 - (5) $[she]^{c,g} = female(g(i))(c_w) \cdot g(i)$, where c_w is the world of the context of utterance c (\approx Yanovich 2012, (7))

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- Schlenker (2007) also treats T–V as contributing indexical presuppositions that reflect the level of familiarity between the speaker and the addressee:
 - (6) $\llbracket tu \rrbracket^c = the speaker c_s$ believes in c_w they stand in a familiar relation to the addressee $c_a \cdot c_a$ (\approx Schlenker 2007, (1b))

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Goals of this t	alk			

• I show that the empirical diversity of usage patterns of pronouns calls for a richer formal analysis thereof, one that revisits the standard assumptions at all levels (lexical semantics, triggering, projection).

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- I treat this as a case study that exposes the general methodological inadequacy of the standard approach to studying projecting inferences in formal semantics & pragmatics.

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Outline of the	talk			

- 1 Introduction: the standard view
- 2 More on pronouns in local contexts
- 3 Reconsidering the standard view
- 4 If we have time

5 Conclusion

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Counterfactual vs. ignorance local contexts

- For counterfactual LCs, judgements are indeed (near-)categorical:
 - (7) a. Context: Skyler is a woman.

If Skyler was a man, I would buy {#him, her} flowers.

b. Esli by my s vami byli na ty, $\langle \#$ ty, vy \rangle by if IRR we with you.V were on you.T you.V IRR menja $\langle \#$ nazyvala, nazyvali \rangle Anja. me called.T called.V Anya

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- **b.** Esli by my s vami byli na ty, $\langle \#$ ty, vy \rangle by if IRR we with you.V were on you.T you.T you.V IRR menja (#nazyvala, nazyvali) Anja. called.t called.v Anya me 'If we were on the T form basis, you'd be calling me Anya.'
- But in ignorance LCs, the patterns are much more varied (contra the claims for gender in Yanovich 2010; Sudo 2012):
 - (8) a. Context: Skyler's gender is unknown. If Skyler is a man, I will buy {%him, %them, #her} flowers. b. Ja ne pomnju, na ty my ili na vy, no esli na ty, I not remember on you. T we or on you. V but if on you. T % (ty, vy) % (možeš, možete) nazyvať menya Anja. you.T you.V may.T may.V call me Anya 'I don't remember if we're on the T or V form basis, but if we're on the T form basis, you may call me Anya.'

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Counterfactual vs. ignorance local contexts

 Gender: 10 English speakers (PhD students born in late 1980s-mid 1990s) listed all the forms they accept for several versions of the counterfactual and ignorance LC examples above, indicating any preferences:

Counterfactual LC	
"actual" form	8
"actual" form $> they$	1
%"actual" form/LC form/ <i>they</i>	1
Ignorance LC	
they	3
they = LC form	3
%LC form/LC form > <i>they</i>	2
they > LC form	1
% <i>they</i> / <i>they</i> = LC form	1

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 T-V: 6 Russian speakers (PhD students or graduates born in mid 1980s-mid 1990s), myself included, listed all the forms they accept for versions of the counterfactual and ignorance LC examples above, indicating any preferences:

Counterfactual LC	
V	5
V > T	1
Ignorance LC	
T > V	2
Т	1
?T	1
V > T	1
V	1

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Verdict: the so	cial aspect of pronour	n use matters for pro	ojection	

• Two relevant intuitions:

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- Two relevant intuitions:
 - For gender: following the tradition in the literature, in the examples above, the LCs for gender use words *female*, *male*, *woman*, *man*, *girl*, *boy*, etc., but for some people there isn't a direct one-to-one mapping b/n biological sex or even social gender and pronouns.

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 - Examples of lack of social gender-pronoun isomorphism: people with non-binary gender identity adopting binary pronouns; people adopting multiple pronouns regardless of whether they identify within the binary; people adopting pronouns that do not match their gender identity as a form of gender-non-conformity (e.g., he/him lesbians).

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 - For both gender and T–V: deliberate use of incorrect/more marked forms can have negative non-inferential conversational effects, and most speakers want to avoid it; for some speakers, even accidental use of incorrect/more marked forms is undesirable.

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 - For both gender and T–V: deliberate use of incorrect/more marked forms can have negative non-inferential conversational effects, and most speakers want to avoid it; for some speakers, even accidental use of incorrect/more marked forms is undesirable.
- Note: Yanovich (2012) discusses various social aspects of pronoun use (wrt gender), but the empirical picture he assumes (and, consequently, his analysis) is still incomplete.

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Levical semant	ics: form indexicals			

- Gender and T–V on pronouns are *form indexicals*:
 - (9) a. $\llbracket[FORM]\rrbracket^{c,g} = \lambda x.form(form, x, c)$, i.e., the speaker c_s believes form to be an appropriate way to refer to x in c
 - b. $\llbracket[\text{SHE-}\phi]\rrbracket^{c,g} = \lambda x.\text{form}(\textit{she}, x, c)$

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Lexical semant	ics: form indexicals			

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- What varies across individuals and situations and changes over time is:
 - what one does if the index doesn't have a relevant entry (performatively establish one, use an unmarked form, etc.);

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- What varies across individuals and situations and changes over time is:
 - what one does if the index doesn't have a relevant entry (performatively establish one, use an unmarked form, etc.);
 - how the conventions are established and changed;

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 - the inventory of forms and their markedness status;

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 - what one does if the index doesn't have a relevant entry (performatively establish one, use an unmarked form, etc.);
 - how the conventions are established and changed;
 - the inventory of forms and their markedness status;
 - what one does when talking about groups of individuals and non-specific individuals.

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Triggering: con	figuration, not lexical	"presuppositions"		
For phi-fea	atures, you don't need to	believe in "presupposit	ions" as a	

natural class of inferences with their own triggering mechanism.

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Triggering: con	figuration, not lexical	"presuppositions"		

- For *phi*-features, you don't need to believe in "presuppositions" as a natural class of inferences with their own triggering mechanism.
- Esipova 2019: *phi*-features and other pronoun-internal modifiers (e.g., in Khoekhoe) are obligatorily non-restricting and, thus, not-at-issue, because they always modify a property whose extension is a singleton set:


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• Like any descriptive content of referential expressions (the female person, a certain woman, this person with 'she' pronouns, Masha, etc.).

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- Like any descriptive content of referential expressions (the female person, a certain woman, this person with 'she' pronouns, Masha, etc.).
- Unlike the descriptive content of non-referential expressions (e.g., Are they {a he or a she?, a man or a woman?, a Masha or a Maria?}).

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"Projection": le	xical semantics + pra	gmatic reasoning		

• Again, no class of "presuppositions" with uniform projection patterns.

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"Projection": le	xical semantics + pra	gmatic reasoning		

- Again, no class of "presuppositions" with uniform projection patterns.
- "Projection" for gender and T–V is the process of selecting a context-appropriate form, affected by a range of utterance-internal and -external factors, including social cost of using the wrong form.

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- Same logic applies in quantificational cases, except the reasoning becomes even more complicated (see also Yanovich 2012 for a relevant discussion of gender in quantificational cases).

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- Same logic applies in quantificational cases, except the reasoning becomes even more complicated (see also Yanovich 2012 for a relevant discussion of gender in quantificational cases).
 - E.g., the common question of whether "presuppositions" project existentially or universally in various quantificational environments doesn't help in explaining why some speakers can use arbitrary gendered forms w/o intending any universal inferences for non-specific individuals, but not when talking about a group of specific people:
 - (11) Possible pattern of pronoun use:
 - a. If you make [a friend]_i, you should be kind to her_i.
 - b. [Every friend of mine] $_i$ likes her $_i$ job.
 - \rightarrow All my friends have *she* pronouns.

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Speaker extern	almooning			

 Another common property of the standard approach to inferences in formal semantics & pragmatics: often no clear separation b/n the speaker's reasons for using a certain linguistic form (speaker meaning) vs. inferences drawn by an external observer + non-inferential conversational effects (speaker-external meaning).

Intro: the standard view	More on pronouns in local contexts	Reconsidering the standard view	If we have time	Outro
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- Another common property of the standard approach to inferences in formal semantics & pragmatics: often no clear separation b/n the speaker's reasons for using a certain linguistic form (speaker meaning) vs. inferences drawn by an external observer + non-inferential conversational effects (speaker-external meaning).
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- Non-inferential conversational effects for gender and T–V:
 - E.g., negative effects of deliberate or accidental misgendering. Cf. effects of expressing one's emotions by swearing on the speaker or effects of hearing a slur on an external observer.
 - The potential to induce such effects can affect one's choice of form, but the effects themselves are not part of the truth-conditional content and should not be modeled as such (instead they can be modeled as direct manipulation of the context, à la expressive semantics in Potts 2007).

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- Two ways of thinking about this (I believe both are possible):
 - Adopting the students' conventions (cf. *Mommy will come back soon* said to a child by another caregiver).
 - Temporarily "pretending" to have different conventions.
 - Either way, not an instance of grammatical indexical shift.

Intro: the standard view	More on pronouns in local contexts	Reconsidering the standard view	If we have time	Outro
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• Take-away points for devising formal theories of inferential patterns associated with gender and T–V:

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Conclusion				

- Take-away points for devising formal theories of inferential patterns associated with gender and T–V:
 - We need to make space in our lexical entries for the varied patterns of mapping between pronoun forms and any other properties of individuals.

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 - We need to make space in our lexical entries for the varied patterns of mapping between pronoun forms and any other properties of individuals.
 - The contributions of gender and T–V on referring pronouns are not-at-issue for configurational reasons, not because they are lexically marked as special.

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 - "Projection" of gender and T–V is a multi-factorial process of selecting a context-appropriate form, not something determined by a fixed set of rules of how an inference of a given type is computed relative to a local context of a given type.

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- Generalizing from this case study:

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- Generalizing from this case study:
 - The specific form of a projecting inference matters for issues of projection, and it can vary across speakers.

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- Generalizing from this case study:
 - The specific form of a projecting inference matters for issues of projection, and it can vary across speakers.
 - The nature of triggering and projection patterns for a given inference need to be studied on a case by case basis. Adopting "presuppositions" as an umbrella category is not helpful for either problem.

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